

DARBY &
DARBY

805 Third Avenue
New York, NY 10022
Tel: (212) 527-7700
Fax: (212) 753-6237

E-MAIL:

FILE #:

DATE: 3/16/05NUMBER TRANSMITTING TO: 703-308-5065RECIPIENT: Corrected Filing Receipt DivisionCOMPANY: U.S. Patent & Trademark OfficeFROM: Flynn BarrisonNO. OF PAGES TRANSMITTING
(INCLUDING COVER SHEET)*: 10CONFIRMATION OF THIS TRANSMISSION WILL FOLLOW BY
FIRST CLASS MAIL (Y/N): NO

COMMENTS:

Please see attachments

THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ABOVE. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE TO DELIVER IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE SO THAT WE CAN ARRANGE FOR THE RETRIEVAL OF THIS DOCUMENT AT NO COST TO YOU. THANK YOU.

PLEASE RETURN TO

Maisy Perry

* IF YOU DO NOT RECEIVE ALL PAGES, PLEASE TELEPHONE US IMMEDIATELY AT (212) 527-7774

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper or, if this paper is a transmittal letter, every other paper or fee referred to therein, is being facsimile transferred to the Commissioner for Patents at the United States Patent and Trademark Office, PO Box 1450, Alexandria, VA 22313-1450, on the date shown below.

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04 - 0100

3/16/05 (Date of Transmission)
Daisy Perez
Date Name
Signature

Customer No.: 07278

Docket No.: 04703/0201831-US0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Eisaku Suda et al.

Serial No.: 10/507,032

Filed: October 13, 2004

For: CERIUM BASED COMPOSITE OXIDE, SINTERED PRODUCT THEREOF AND
METHOD FOR PREPARATION THEREOF

REQUEST FOR CORRECTED FILING RECEIPT
AND NOTICE OF ACCEPTANCE

Commissioner of Patents and Trademarks
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED
MAR 16 2006
CUSTOMER SERVICE
PUBLISHING DIVISION

Sir:

1. Attached are copies of the official filing receipt and Notice of Acceptance received from the PTO in the above application for which issuance of a corrected filing receipt and Notice of Acceptance is respectfully requested.

2. There is an error with respect to the following data, which is:

- ☒ incorrectly entered
☐ omitted.
- and/or

Error in**Omitted data**


- | | | |
|-------------------------------------|---|------------------|
| <input type="checkbox"/> | Applicant's name | |
| <input type="checkbox"/> | Applicant's address | |
| <input type="checkbox"/> | Title | |
| <input checked="" type="checkbox"/> | Filing Date | October 13, 2004 |
| <input type="checkbox"/> | Application Number | |
| <input checked="" type="checkbox"/> | Date of Receipt of 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) Requirements | October 13, 2004 |
| <input checked="" type="checkbox"/> | Date of Completion of all 35 U.S.C. 371 Requirements | October 13, 2004 |

REMARKS

Attached are copies of Customer Copy of Express Mail Label No. EV 367699392 US and stamped return post card. The Express Mail Customer Copy indicates a date of Deposit of October 13, 2004, and the postcard also indicates a receipt date of October 13, 2004. Applicants respectfully request the Commissioner to make the corrections indicated on the enclosed, marked-up copies of the filing receipt and Notice of Acceptance and issue corrected documents.

Respectfully submitted,

Dated: March 15, 2005



Flynn Barrison
Reg. No. 53,970
Agent for Applicant(s)

DARBY & DARBY P.C.
P.O. Box 5257
New York, New York 10150-5257
212-527-7700

PTO/SB/97 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

Application No. (if known): 10/507,032

Attorney Docket No.: 04703/0201831-US0

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office.

on August 8, 2003
Date


Signature

Daisy Perez
Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

Request for Corrected filing Receipt and Notice of Acceptance (2 pp.);
Marked-up copy of Filing Receipt (2 pp.);
Marked-up copy of Notice of Acceptance (2 pp.);
Copy of stamped return receipt postcard (1 p.);
Copy of Express Mail Label (1 p.)



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

| APPL NO. | FILING OR 371 (e) DATE | ART UNIT | FIL FEE RECD | ATTY. DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|--------------|-------------------|----------|----------|----------|
| 10/507,032 | 09/07/2004 10/13/04 | 1754 | 525 | 04703-0201831-US0 | 4 | 9 | 1 |

7278

DARBY & DARBY P.C.

P. O. BOX 5257

NEW YORK, NY 10150-5257

CONFIRMATION NO. 8788

FILING RECEIPT



OC000000015063407

Date Mailed: 02/08/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Eisaku Suda, Tokushima-shi, JAPAN;
 Bernard Pacaud, Nishinomiya-shi, JAPAN;

Assignment For Published Patent Application

Anan Kasei Co., LTD., Hyogo, JAPAN

Power of Attorney:

S Ludwig--25351

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP02/06472 06/27/2002

Foreign Applications

JAPAN 200262865 03/08/2002

Projected Publication Date: 05/12/2005

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Cerium based composite oxide, sintered product thereof and method for preparation thereof

Preliminary Class

423

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1470
Alexandria, Virginia 22313-1470
www.uspto.gov

| | | |
|---|--------------------------------------|---------------------------------------|
| U.S. APPLICATION NUMBER NO. 10/507,032 | FIRST NAMED APPLICANT Eisaku Suda | ATTY. DOCKET NO. 04703-0201831-US0 |
|---|--------------------------------------|---------------------------------------|

| |
|---|
| INTERNATIONAL APPLICATION NO. PCT/JP02/06472 |
|---|

| | |
|--------------------------------|-----------------------------|
| I.A. FILING DATE 06/27/2002 | PRIORITY DATE 03/08/2002 |
|--------------------------------|-----------------------------|

7278
DARBY & DARBY P.C.
P. O. BOX 5257
NEW YORK, NY 10150-5257

TO: *Jaisy Perez*
ATTY REVIEWED _____

DATE: _____

CONFIRMATION NO. 8788
371 ACCEPTANCE LETTER



Date Mailed: 02/08/2005

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

10/13/2004
09/07/2004
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and
(c)(4) REQUIREMENTS

10/13/2004
09/08/2004
DATE OF COMPLETION OF ALL 35 U.S.C. 371
REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Indication of Small Entity Status
- Copy of the International Application filed on 09/07/2004
- Copy of the International Search Report filed on 09/07/2004
- Information Disclosure Statements filed on 09/07/2004
- Oath or Declaration filed on 09/07/2004
- U.S. Basic National Fees filed on 09/07/2004
- Priority Documents filed on 09/07/2004
- Power of Attorney filed on 09/07/2004

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

PAULETTE R KIDWELL

Telephone: (703) 308-9140 EXT 216

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)

Atty Docket No.: 04703/0201831-US0

Inventor: Eisaku Suda et al.

Appln: 10/507,032

Filed: Sep. 7, 2004

Title: CERIUM BASED COMPOSITE OXIDE, SINTERED PRODUCT THEREOF AND METHOD FOR PREPARATION THEREOF

Documents:

Transmittal Letter to the United States Designated Elected Office (2 pages)

Combined Declaration and Power of Attorney (3 pages)

Check No. 6411 in the amount of \$85.00

Certificate of Express Mail (1 page)

Via: Express Mail: Airbill No.

Sender Initials: SPL/rmd Date: October 13, 2004

B.W. Lee

A circular stamp from the Office of Intellectual Property (OIP). The text "OIP" is at the top, "JCL" is on the right, and "PATENT & TRADEMARK SERVICE" is at the bottom. The date "OCT 13 2004" is stamped in the center.

DT14 Reg'd PCT/PTO

13 OCT 2004

Atty Docket No.: 04703/0201831-US0**Inventor:** Eisaku Suda et al.**Appln:** 10/507,032**Filed:** Sep. 7, 2004**Title:** CERIUM BASED COMPOSITE OXIDE, SINTERED
PRODUCT THEREOF AND METHOD FOR
PREPARATION THEREOF**Documents:**Transmittal Letter to the United States Designated Office (2
pages)

Combined Declaration and Power of Attorney (3 pages)

Check No. 6411 in the amount of \$6500

Certificate of Express Mail (1 page)

Via: Express Mail: Airbill No. **EU 357899392****Sender Initials:** SPL/rmd **Date:** October 13, 2004*B.W. Lee*

DT14 Rec'd PCT/PTO 13 OCT 2004

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

PAULETTE R KIDWELL

Telephone: (703) 308-9140 EXT 216

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.